

「金融管治 誠信建設」三地專題研討會  
小組討論主持

Conference on Corporate Governance in the Financial Sector  
Group Discussion Facilitators

\* 小組匯報代表

Representative to Report Back

討論題目一：有效打擊內幕交易及強化資料披露的管治

Discussion Topic 1: Combating Insider Dealing and Enhancing the Governance of Information Disclosure

組別 Group	小組討論主持 Facilitators	
E1	 區景麟博士 Dr AU King-lun	香港證券專業學會主席 Chairman, Hong Kong Securities Institute
E2	 詹華達先生* Mr Jim WARDELL	Corporate Recovery Asia Limited主席 Chairman, Corporate Recovery Asia Limited
E3	 趙天岳先生 Mr CHAO Tien-yo	美富律師事務所合夥人 Partner, Morrison & Foerster

討論題目二：董事會及管理層如何有效地處理公司的誠信挑戰

Discussion Topic 2: Roles and Responsibilities of Board of Directors and Management to Master Ethical Challenges

組別 Group	小組討論主持 Facilitators	
C1	 陳茂波議員，MH, JP The Honourable Paul CHAN Mo-po, MH, JP	華德匡成會計師事務所有限公司主席 Chairman, PCP CPA Limited
C2	 陸觀豪先生，BBS, JP Mr Roger LUK Koon-hoo, BBS, JP	資深銀行家、前恒生銀行有限公司常務董事 Veteran Banker, Former Managing Director of Hang Seng Bank Limited
C3	 黃天祐博士 Dr Kelvin WONG Tin-yau	中遠太平洋有限公司執行董事兼副董事總經理 Executive Director and Deputy Managing Director, COSCO Pacific Limited
C4	 覃張桂英女士 Mrs Dorothy TAM	廉政公署社區關係處首席廉政教育主任(東九龍及西貢) Regional Officer / Kowloon East/Sai Kung, Community Relations Department, Independent Commission Against Corruption
C5	 謝建權先生 Mr Lawrence TSE	廉政公署社區關係處首席廉政教育主任(新界東) Regional Officer / New Territories East, Community Relations Department, Independent Commission Against Corruption
C6	 蕭啟鑒先生* Mr James SIU Kai-lau	利豐(1937)集團執行董事兼集團監察總裁 Executive Director and Group Chief Compliance Officer, Li & Fung (1937) Group

語言 Language:

C: 粵語 Cantonese

E: 英語 English

P: 普通話 Putonghua

**討論題目三：專業人士如何協助公司董事處理公司的誠信挑戰**

**Discussion Topic 3: Professionals' Supporting Role to Company Directors in Mastering Ethical Challenges**

組別 Group	小組討論主持 Facilitators		
E4		李嘉威先生 Mr Alfred LEE	德豪嘉信會計師事務所有限公司董事 Director, BDO McCabe Lo Limited
E5		李嘉樂博士 Dr Gregg LI	怡安亞太區區域首席主管企業治理顧問 Principal of Corporate Governance Practice, Aon Asia Pacific
E6		馬東雷先生* Mr Donald C. MEYER	Synergy Asia Investments Limited董事 Director, Synergy Asia Investments Limited
E7		黎雅明先生，JP Mr Amirali B. NASIR, JP	黎雅明律師行合夥人 Partner, Nasirs Solicitors and Notaries

**討論題目四：如何加強內部監管及誠信管理措施**

**Discussion Topic 4: Strengthening Internal Control and Ethics Management**

組別 Group	小組討論主持 Facilitators		
P1		周光暉先生，JP Mr Edward CHOW Kwong-fai, JP	中國基建集團主席 Chairman, China Infrastructure Group
		孫德基先生，BBS, JP Mr David SUN Tak-kei, BBS, JP	安永會計師事務所遠東區主席及首席合夥人 Far East Area Chairman and Managing Partner, Ernst & Young
P2		陳心愉女士* Ms Bonnie CHAN	香港交易及結算所有限公司企業傳訊部投資者服務總監 Vice President, Investor Services, Corporate Communications Department, Hong Kong Exchanges and Clearing Limited
		劉健成博士 Dr Charles LAU Kin-shing	華潤創業有限公司副總經理 Vice President, China Resources Enterprises Limited

**討論題目五：利益衝突的有效處理**

**Discussion Topic 5: Effective Handling of Conflict of Interests**

組別 Group	小組討論主持 Facilitators		
C7		陳記煊先生 Mr Michael CHAN Kee-huen	中國平安保險（集團）股份有限公司集團內控管理中心集團合規部主管 Head of Group Compliance, Ping An Insurance (Group) Company of China Limited
C8		張愛倫小姐 Miss Rosaline CHEUNG	廉政公署社區關係處首席廉政教育主任(新界西北) Regional Officer / New Territories North West, Community Relations Department, Independent Commission Against Corruption
C9		劉國權博士* Dr Peter LAU Kwok-kuen	佐丹奴國際有限公司主席 Chairman and Chief Executive, Giordano International Limited
C10		霍廣文先生 Mr Kenny FOK	廉政公署防止貪污處首席防貪主任(署理) Principal Corruption Prevention Officer (Ag), Corruption Prevention Department, Independent Commission Against Corruption
C11		謝國生博士 Dr Maurice TSE Kwok-sang	恒基陽光資產管理有限公司獨立非執行董事 Independent Non-executive Director, Henderson Sunlight Asset Management Limited